

UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/812,315	03/20/2001	Sean Carolan	2000-0296

CONFIRMATION NO. 2923

FORMALITIES LETTER



Samuel H. Dworetsky
AT&T CORP.
P.O. Box 4110
Middletown, NJ 07748-4110

Date Mailed: 04/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/23/2001 HMOHAMM1 00000118 012745 09812315

FILED UNDER 37 CFR 1.53(b)

01 FC:105 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicant(s): Sean E. Carolan et al.
Attorney Docket No.: 2000-0296
Application No.: 09/812315
Filing Date: 03/20/2001
Examiner Name:
Group Art Unit: 2181
Title: Method And Apparatus For Coordinating A Change In Service Provider Between A Client And A Server
Confirmation No.: 2923

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Box: Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

Please charge **AT&T Corp. Deposit Account No. 01-2745** in the amount of \$130.00 to cover the filing fee surcharge. A duplicate copy of this letter is enclosed. In the event of any non-payment or improper payment of a required fee, the Assistant Commissioner is authorized to charge or to credit **AT&T Corp. Deposit Account No. 01-2745** as required to correct the error.

A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Date:

5/18/01

By:

Gary H. Monka

Attorney for Applicant(s)

Reg. No.: 35290

T: 908-221-8525

F: 732-368-6932

AT&T CORP.

P.O. Box 4110

Middletown, New Jersey 07748-4110

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/812315
Filing Date	03/20/2001
First Named Inventor	Sean E. Carolan et al.
Group Art Unit	2181
Examiner Name	
Attorney Docket Number	2000-0296

Total Number of Pages in this Submission

11

Enclosures (check all that apply)

<input type="checkbox"/> See Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits / Declaration(s) <input type="checkbox"/> Petition for Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Drawing(s) & Letter to Official Draftsman <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communications to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional enclosure(s) (please identify below) <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
Remarks		

CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

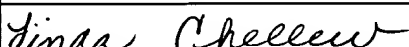
NAME	Samuel H. Dworetsky				
ADDRESS	AT&T CORP. P.O. Box 4110				
CITY	Middletown	STATE	New Jersey	ZIP CODE	07748-4110
COUNTRY	United States of America	FAX	732-368-6932		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Gary H. Monka	Reg. #	35290
TELEPHONE	908-221-8525		
SIGNATURE		DATE	5/18/2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 05/18/2001

Type or Printed Name	Linda Chellew		
Signature		Date	05/18/2001

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

TRANSMITTAL FORM (to be used for all correspondence after initial filing) MAY 21 2001 PATENT & TRADEMARK OFFICE	Applicati n Number	09/812315 03C0
	Filing Date	03/20/2001
	First Named Inv nt r	Sean E. Carolan et al.
	Group Art Unit	2181
	Examiner Name	
Total Number of Pages in this Submission 10	Attorney Docket Number	2000-0296


Enclosures (check all that apply)

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Remarks		

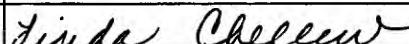
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<input type="checkbox"/> Customer Number or Bar Code Label	<div style="border: 1px solid black; height: 40px; width: 100%;"></div> (Insert Customer No. or Attach bar code label here)	or <input checked="" type="checkbox"/> Correspondence address below	
NAME	Samuel H. Dworetsky		
ADDRESS	AT&T CORP. P.O. Box 4110		
CITY	Middletown	STATE	New Jersey
COUNTRY	United States of America	ZIP CODE	07748-4110
		FAX	732-368-6932

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NAME	Gary H. Monka	Reg. #	35290
TELEPHONE	908-221-8525		
SIGNATURE		DATE	5/18/01

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 05/18/2001			
Type or Printed Name	Linda Chellew		
Signature		Date	05/18/2001

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Patent Application

Inventors Sean E. Carolan
 John W. Garrett
 Charles Robert Kalmanek Jr.
 Han Q. Nguyen
 Kadangode K. Ramakrishnan

Case 2000-0296

Serial No. 09/812,315 **Group Art Unit** 2181

Filing Date 03/20/01 **Batch No.**

Examiner Unassigned

Title Method and Apparatus For Coordinating A Change In Service
 Provider Between A Client And A Server

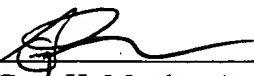
ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

LETTER TO THE OFFICIAL DRAFTSPERSON

SIR:

Enclosed are the formal drawings (8 sheets) for the above-identified application. Please substitute these formal drawings for the informal drawings filed with the application.

Respectfully,
Sean E. Carolan
John W. Garrett
Charles Robert Kalmanek Jr.
Han Q. Nguyen
Kadangode K. Ramakrishnan

By: 
Gary H. Monka, Attorney
Reg. No. 35290
(908) 221-8525

Date: 5/18/01
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P.O. Box 4110
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